



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Theodore W. Reinhold	§	GROUP ART UNIT:
		§	3725
SERIAL NO.:	09/786,494	§	
		§	EXAMINER:
FILED:	June 26, 2001	§	John Husar
		§	
FOR:	Garden Refuse Shredding	§	
	Apparatus	§	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Atty. Dkt. No.: 2219-00100

Date: March 23, 2004

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 CFR §1.97, §1.98, applicant is providing herewith copies of the supplementary items listed on the attached U.S. Patent and Trademark Office Form PTO 1449. If this application was filed prior to June 30, 2003, a copy of each publication listed on Form PTO-1449 is enclosed herewith. This information is supplemental to the Information Disclosure Statement and Form PTO 1449 filed in the above-referenced case on April 21, 2003.

The submission of this Supplemental Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

03/29/2004 CCHAU1 00000044 032769 09786494  
01 FC:1806 180.00 DA

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APR 01 2004

TECHNOLOGY CENTER R3700

Each item provided herewith was first cited in a communication from the New Zealand patent office in an application pending in New Zealand which is a foreign counterpart application of the above-styled matter. Applicant's Certification under 37 CFR §1.97(e)(1) is attached hereto.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Marcella D. Watkins', written over a horizontal line.

Marcella D. Watkins

Reg. No. 36,962

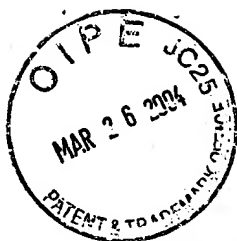
CONLEY ROSE, P.C.

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ATTORNEY/AGENT FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Theodore W. Reinhold	§	Group Art Unit:	3725
Serial No.:	09/786,494	§	Examiner:	Mark Rosenbaum
Filing Date:	March 5, 2001	§	Atty. Dckt. No.:	2219-00100
For:	Garden Refuse Shredding Apparatus	§	Client Ref. No.:	8089BAR/MLA:daa

CERTIFICATION UNDER 37 CFR 1.97(e)(1)

Applicant hereby certifies that each item of information contained in the attached Supplemental Information Disclosure Statement and Form PTO 1449 was first cited in the a communication from the New Zealand patent office in a counterpart application pending in New Zealand, dated 24 Dec 2003, not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

20 March, 2004

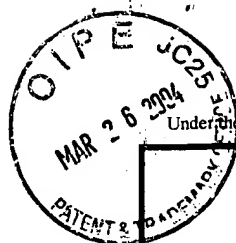
D. P. Fox

D. P. Fox  
Agent for Applicants

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AF 3725/81

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/786,494
	Filing Date	June 26, 2001
	First Named Inventor	Theodore W. Reinhold
	Art Unit	3725
	Examiner Name	Mark Rosenbaum
Total Number of Pages in This Submission	Attorney Docket Number	2219-00100

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-1449( 1p.); Seven (7) Cited References; Certification Under 37 CFR 1.97(e)(1); and acknowledgement postcard
Remarks	<b>RECEIVED</b> <b>APR 01 2004</b> <b>TECHNOLOGY CENTER R3700</b>	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual Name	Marcella D. Watkins 36,962
Signature	
Date	March 23, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or Printed Name	Sandra K. Begley		
Signature		Date	March 23, 2004

122469.01/2219.00100

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.**

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**FEE TRANSMITTAL  
For FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** \$ 180.00**Complete if Known**

Application Number	09/786,494
Filing Date	March 5, 2001
First Named Inventor	Theodore W. Reinhold
Examiner Name	Mark Rosenbaum
Art Unit	3725
Attorney Docket No.	2219-00100

**METHOD OF PAYMENT (Check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:  
Deposit Account Number: 03-2769  
Deposit Account Name: Conley Rose, P.C.**The Director is authorized to:** (check all that apply)☒ Charge fee(s) indicated below  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account  
☒ Credit any overpayments**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	\$
1002 330	2002 165	Design filing fee	\$
1003 520	2003 260	Plant filing fee	\$
1004 750	2004 375	Reissue filing fee	\$
1005 160	2005 80	Provisional filing fee	\$

**SUBTOTAL (1) \$****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	*	20** = * x	Extra Claims below	Fee from below	Fee Paid
Independent Claims	*	3** = * x		18.00	= \$
Multiple Dependent Claims				84.00	= \$
				280.00	= \$ 00.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent Claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$**

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	\$
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	\$
1053 130	2053 130	Non-English specification	\$
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	\$
18042 920*	1804 920*	Requesting publication of SIR prior to Examiner action	\$
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	\$
1251 110	2251 55	Extension for reply within first month	\$
1252 410	2252 205	Extension for reply within second month	\$
1253 930	2253 465	Extension for reply within third month	\$
1254 1,450	2254 725	Extension for reply within fourth month	\$
1255 1,970	2255 985	Extension for reply within fifth month	\$
1401 320	2401 160	Notice of Appeal	\$
1402 320	2402 160	Filing a brief in support of an appeal	\$
1403 280	2403 140	Request for oral hearing	\$
1451 1,510	1452 1,510	Petition to institute a public use proceeding	\$
1452 110	2452 55	Petition to revive - unavoidable	\$
1453 1,300	2453 650	Petition to revive - unintentional	\$
1501 1,300	2501 650	Utility issue fee (or reissue)	\$
1502 470	2502 235	Design issue fee	\$
1503 630	2503 315	Plant issue fee	\$
1460 130	1460 130	Petitions to the Commissioner	\$
1807 50	1806 50	Processing fee under 37 CFR 1.17(g)	\$
123 50	123 50	Petitions related to provisional applications	\$
1806 180	1806 180	Submission of Information Disclosure Stmt	\$180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	\$
1809 750	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	\$
1810 750	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	\$
1801 750	2801 375	Request for Continued Examination (RCE)	\$
1802 900	1802 900	Request for expedited examination of a design application	\$

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) \$ 180.00****APR 01 2004****SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Marcella D. Watkins	Registration No. (Attorney/Agent)	36,962	Telephone	(713) 238-8000
Signature				Date	March 23, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-0PTO-9199 (1-800-786-9199) and select option 2.

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use several sheets if necessary)Atty. Docket No.  
2219-00100Serial No.  
09/786,494Applicant  
Theodore W. ReinholdFiling Date  
June 26, 2001Group  
3725**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	4,778,117	10/18/1988	Karg	241	92	
	AB	5,240,189	08/31/1993	Majkrzak et al.	241	55	
	AC	5,381,970	01/17/1995	Bold et al.	241	55	
	AD	5,669,563	09/23/1997	Gearing et al.	241	101.78	01/24/1995
	AE	5,707,017	01/13/1998	Paolucci et al.	241	55	07/09/1996

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
	AF	501652	10/05/1979	Australia				
	AG	499085	11/03/1975	Australia				

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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		APR 01 2004
		TECHNOLOGY CENTER R3700

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.